

2566-106
vmd

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC917 U.S. PRO
09/699503
10/31/00

In re Application of)
David C. CUSHING et al.)
Serial No.) Examiner:
Filed: Herewith) Group Art Unit:
For: SYSTEM AND METHOD FOR)
EXECUTING STRATEGY)
SECURITY TRADING)

PETITION FOR FILING UNDER RULE 47(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned hereby petitions to file the accompanying patent application referred to above under 37 CFR § 1.47(a). A check in the amount of \$130 to cover the fee required under 37 CFR § 1.17(i) is enclosed.

The subject matter claimed in the accompanying patent application was co-invented by inventors David C. Cushing, M. Mitch Bulajic, Rohit D'Souza and Kenneth Kramer. To the best of the undersigned's knowledge upon information and belief, Mr. D'Souza is a former employee of the assignee of the present patent application, was given a copy of the application to review, but has refused to sign the inventors' declaration.

11/06/2000 EEKUBAY1 00000130 09699503

01 FC:122

130.00 OP

Accordingly, the other co-inventors make application for a patent on behalf of themselves and Mr. D'Souza, as set forth in the accompanying declaration. The Commissioner is hereby requested to accept the accompanying application for filing without the signature of co-inventor Rohit D'Souza, pursuant to 37 CFR § 1.47(a).

The last known address of Mr. D'Souza is 425 Park Avenue South, New York, New York 10016.

Please charge any fee or credit any overpayment pursuant to 37 CFR 1.16 or 1.17 to Deposit Account No. 02-2135.

Respectfully submitted,

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

By Vincent M De Luca
Vincent M. DeLuca
Attorney for Applicants
Registration No. 32,408

10/31/00

555 Thirteenth Street, N.W.
Suite 701 East Tower
Washington, D.C. 20004
Telephone: (202)783-6040